

Contents

Introduction	1
Chapter 1: Risk Assessment Basics	5
Joint Commission Requirements	5
What Is a Risk Assessment?.....	11
Why Assess Risks?.....	12
Risk Assessment Documentation.....	16
Where Are the Risks?.....	17
Who Identifies Risks?.....	18
How Can an Organization Conduct a Risk Assessment?	18
Tools to Try	22
Tool 1-1. Sample Environmental Safety Management Plan	
Tool 1-2. Proactive Risk Assessment Worksheet	
Tool 1-3. Failure Mode and Effects Analysis (FMEA) Worksheet	
Chapter 2: Joint Commission Tools for Risk Assessment	37
The SAFER® Matrix.....	37
The SAFER® Dashboard.....	38
Intracycle Monitoring.....	40
The Statement of Conditions™	42
Tools to Try	44
Tool 2-1. SAFER® Matrix and Required Follow-Up Activities	
Tool 2-2. Mock Tracer Worksheet	
Tool 2-3. Tracer Questions for the Physical Environment	
Chapter 3: Safety	49
Overview of Assessing Risks	49
Tour vs. Rounds vs. Tracer vs. Assessment.....	52
Environmental Tours	54
Worker Safety Risk Assessment	57
The Job Hazard Analysis.....	58
Special Risk Considerations.....	59
Tools to Try	63
Tool 3-1. EC Committee Reporting Schedule	
Tool 3-2. Sample Safety Risk Assessment	
Tool 3-3. Corrective Action Plan Worksheet	

Tool 3-4. Worker Safety Risk Assessment Worksheet	
Tool 3-5. Job Hazard Analysis Worksheet	
Tool 3-6. Environmental Risks for Suicide Assessment Checklist	
Tool 3-7. Sample Smoke-Free Policy	
Chapter 4: Security	83
Overview of Assessing Risks	84
Common Security Risks in Health Care Settings	89
Staff Problems.....	100
Specific Patient Populations.....	101
Technology and Data Security	104
Tools to Try	108
Tool 4-1. Sample Security Event Risk Assessment	
Tool 4-2. Sample Security Risk Worksheet for Assets	
Tool 4-3. Environmental Risks for Workplace Violence Assessment Checklist	
Tool 4-4. Sample Cybersecurity Risk Assessment	
Tool 4-5. Health Information Security Tracer	
Tool 4-6. Health IT Security Decision Checklist	
Chapter 5: Hazardous Materials and Waste	123
Hazardous Materials vs. Hazardous Waste	124
Overview of Risk Identification	124
Hazmat Inventory Creation and Management	129
Special Risk Considerations.....	132
Tools to Try	137
Tool 5-1. Sample Safety Data Sheet—Paracetic Acid 15%	
Tool 5-2. Sample Hazardous Materials and Waste Storage Risk Assessment	
Tool 5-3. Hazardous Materials and Waste Inventory Worksheet	
Tool 5-4. Sample Eyewash Station and Drench Shower Risk Assessment	
Chapter 6: Fire and Life Safety	155
Fire Safety vs. Life Safety.....	155
Assessing Compliance Challenges	156
Interim Life Safety Measures	162
Equivalencies and Time-Limited Waivers	165
The Human Factor.....	166
Tools to Try	169
Tool 6-1. Checklist for Identifying Fire Safety and Other Compliance Issues in Health Care Occupancy Kitchens	
Tool 6-2. Building Assessment Tool	
Tool 6-3. Fire Drill Scheduling Tool	
Tool 6-4. Life Safety Mock Tracer Worksheet	
Tool 6-5. Surgical Fire Risk Assessment	
Tool 6-6. Sample Interim Life Safety Measures Policy	
Tool 6-7. Sample ILSM Assessment and Selection Checklist	

Chapter 7: Medical Equipment	213
Overview of Risk Identification	213
Equipment Selection.....	217
The Medical Equipment Management Program	218
Maintenance Strategies.....	221
Special Considerations	223
Tools to Try	225
Tool 7-1. Medical Equipment Maintenance Program Evaluation Checklist	
Tool 7-2. Alternative Equipment Maintenance Program Compliance Checklist	
Tool 7-3. High-Risk Medical Equipment Identification Checklist	
Tool 7-4. Diagnostic Imaging Equipment Compliance Assessment Checklist	
 Chapter 8: Utilities	239
Overview of Risk Identification	240
Creating a Risk-Based Inventory	242
Maintenance Strategies.....	242
Emergency Electrical Power	243
Medical Gas and Vacuum Systems.....	246
Waterborne Pathogens	248
Tools to Try	252
Tool 8-1. High-Risk Utility System Component Identification Checklist	
Tool 8-2. Utility Outage Mock Tracer Worksheet	
Tool 8-3. Piped and Cylinder-Delivered Medical Gas Compliance Checklist	
Tool 8-4. CDC’s Water Infection Control Risk Assessment (WICRA) for Healthcare Settings	
Tool 8-5. Ventilation System Risk Assessment	
 Chapter 9: Construction	275
Overview of Assessing Risks	275
The Infection Control Risk Assessment.....	277
Interim Life Safety Measures	282
Other PCRA Issues	282
Documenting the Process	283
Tools to Try	283
Tool 9-1. Preconstruction Risk Assessment	
Tool 9-2. Daily Construction Project Safety Inspection Checklist	
Tool 9-3. ASHE Infection Control Risk Assessment 2.0: Matrix of Precautions for Construction, Renovation and Operations	
 Glossary	299
 Index	307